

PATENT

Case Docket No. PUNIV.002A

Date: April 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant(s) | : | Bassler et al. | I hereby certify that this correspondence and all marked attachments are being deposited with the United States |
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| Appl. No. | : | 09/853,257 | Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on |
| Filed | : | May 10, 2001 |) <u>April 20, 2002</u> (Pate) Asl fift |
| For | : | LUXO-SIGMA54 INTERACTIONS AND METHODS OF USE | Joseph J. Mallon Reg. No. 39,287 |
| Examiner | : | Unassigned |) |
| Group Art Uni | it: | 1646 | , |

TRANSMITTAL LETTER

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 and copies of seven (7) listed references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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Registration No. 39,287

Attorney of Record

PUNIV.002A





PATENT

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| Examiner | : | Unassigned | ,)) | |

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 100 30, 2002

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. PUNIV 002A | APPLICATION NO. 09/853,257 |
|---------------|--|--------------------------------|-------------------------------|
| | DISCLOSURE STATEMENT | APPLICANT Bassier et al. | RECEIVED |
| (USE SEVERA | AL SHEETS IF NI CESSAN) | FILING DATE May 10, 2001 | GROUP MAY 17 (2007) 29 |
| | | | TECH CENTER 1600.23 |

| U.S. PATENT DOCUMENTS | | | | | | |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| EXAMINER | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | BCLASS TRANSLATION | |
| INITIAL | | | | | | YES | NO |
| | WO 01/85664 A2 | 11/15/01 | PCT | | | X | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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| EXAMINER | DATE CONSIDERED |
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